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COMBINED DECLARATION & POWER OF ATTORNEY - U.S.A Application

As a below named inventor, I hereby declare that:

My residence post office address and citizenship are as stated below next to my name.

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled THERAPEUTIC TREATMENTS FOR NEUROPSYCHIATRIC DISORDERS, the specification of which

(check one)	[X]	is attached hereto
	[]	was filed on
		as US Application Serial Number or PCT International Application Number
		and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 CFR 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

NONE		·
Prior Foreign Application(s)	Priority Not Claimed
(Number)	(Country)	(Day/Month/Year Filed)
I hereby claim the benefit u	nder 35 U.S.C., 119(e) of any	United States provisional application(s) listed below
NONE		
	(Application Number)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designation the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

NONE		•
(Application Number)	(Filing Date)	(Status -patented, pending, abandoned)

I hereby appoint STEPHEN DONOVAN, Registration No. 33,433 (to whom all communications are to be directed), at Allergan, Inc. (T2-7H), 2525 Dupont Drive, Irvine, CA. 92612, telephone number (714) 246-4026, facsimile number (714) 246-4249, and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, with full power to appoint associate attorneys:

Name	Registration No.
Martin A. Voet	25,208
Robert J. Baran	25,806
Carlos A. Fisher	36,510

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR:	·		
First Name:	Initial	Last Name	
STEPHEN	·	DONOVAN	
RESIDENCE & CITIZENSHIP		- 	
City	State or Foreign Country	Country of Citizenship	-
CAPISTRANO BEACH	CALIFORNIA	CANADA	
POST OFFICE ADDRESS	<u> </u>	A service of the serv	
Post Office Address	City	State or Country	Zip Code
Post Office Address			
27252 Calle Anejo	Capistrano Beach	California	92624
2,232 (1110)			
SIGNATURE OF ENVENTOR		DATE:	
Stoplen or	novon	May 10, 20	02
FULL NAME OF INVENTOR:		· · · · · · · · · · · · · · · · · · ·	
First Name:	Initial	Last Name	
		·	
•.	[
RESIDENCE & CITIZENSHIP			
City	State or Foreign Country	Country of Citizenship	
·	*		
POST OFFICE ADDRESS		·	
Post Office Address	City	State or Country	Zip Code
	· ·		
		·	,
	<u> </u>		
SIGNATURE OF INVENTOR		DATE:	
		9	



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LEGAL/PATENTS

JULY 19, 2002

PTAS

ALLERGAN, INC. STEPHEN DONOVAN (T2-7H) 2525 DUPONT DRIVE, IRVINE, CA 92612 Commissioner for Trademarks Arlington, VA 22202-3513 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/10/2002

REEL/FRAME: 012903/0405

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DONOVAN, STEPHEN

DOC DATE: 05/10/2002

ASSIGNEE:

ALLERGAN SALES, INC. 2525 DUPONT DRIVE IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 10143078

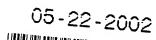
PATENT NUMBER:

FILING DATE: 05/10/2002

ISSUE DATE:

SEDLEY PYNE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS







TO:	THE COMMISSIONER C	102099785 F PATENTS AND TRADEMAKAS	43078 13078
	Please record the attached	d original document(s) or copy(ies):	10/1
1.	Submission Type:		1
	NEW	5-10-02	
	☐ Correction of PTO erro	r (Reel /frame)	
	☐ Corrective Document	(Reel /frame)	
2.	Conveyance Type:		
	License	•	
	☐ Merger		
	Security Agreemen	nt	Y
	☐ Change of Name		•
	Other:		
3.			*
-		CONVEYING PARTIES	Patrice Co.
-		ames of Conveying Parties	Date of Conveyance
- [1. STEPHEN DONOVAN		MAY 10, 2002
	2.		
Г	3.		
L	4.		

	002 DBYRNE 00000161 010885 10143078 RECEIVING	G PARTIES
FC:5	Names of Rec	eiving Parties
	Name: Allergan Sales, Inc.	· ·
	Address 1: 2525 Dupont Drive	
Į	Address 2: Irvine, CA 92612	

Additional Receiving Parties Attached.

5. If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

6.	DOMESTIC REPRESENTATIVE NAME AND ADDRESS
	Name:
	Address 1:
	Address 2:
7.	
	CORRESPONDENCE NAME AND ADDRESS
	Name: Stephen Donovan (T2-7H)
	Address 1: Allergan, Inc.
	Address 2: 2525 Dupont Drive, Irvine, CA 92612
	Telephone 714-246-4920 and Fax 714-246-4249
8.	Total Number of pages of the conveying document, including attachments: 2 pages
9.	APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)
	Application Number Patent Number
	Application Number Patent Number
10	
	date of execution of the Assignment by the first inventor:
	Title of Patent Application: THERAPEUTIC TREATMENTS FOR NEUROPSYCHIATRIC DISORDERS
	Docket No.: 17500 (BOT) Date of Execution by First Inventor: MAY 10, 2002
11	
12	. The fee amount (37 CFR §3.41) of <u>\$ 40.00</u>
	may be debited from our Deposit Account No. 01-0885. is enclosed as check no
13	The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.
To	the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the
	iginal document.
Re	espectfully submitted,
	GNATURE Date: May 10 th 2 002
TY	PED or PRINTED NAME: STEPHEN DONOVAN, ESQ. REGISTRATION NO. 33,433 V
Ιh	CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10 ereby certify that this Assignment and the additional documents enclosed herein are being deposited with the United States Postal Service on this
da	the May 10, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label number EL897833752US addressed to Assistant mmissioner for Patents, Washington, D.C. 20231.
Da	te: May 10, 2002 Susan Bartholomew Name of person mailing paper Signature of person mailing documents

Docket No. 17500 (BOT)

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JUL 3 0 2002

ASSIGNMENT

LEGAL/PATENTS

WHEREAS I, STEPHEN DONOVAN, of ORANGE COUNTY, CALIFORNIA (hereinafter referred to as ASSIGNOR), have invented an invention entitled THERAPEUTIC TREATMENTS FOR NEUROPSYCHIATRIC DISORDERS, for which application for Letters Patent of the United States was executed by me and filed herewith.

WHEREAS, Allergan Sales, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 10⁺ day May. 2002

State of CALIFORNIA

) ss:

County of ORANGE

On May 10, 2002 before me, MARY LOU , Notary Public, personally appeared STEPHEN DONÓVAN, personally known to me (or proved to me. on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he she executed the same in his/her. authorized capacity, and that by kis/her-signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

1ARY LOU MCNOW

ORANGE COUNTY 1y Comm. Expires 8/16/03



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SFP 02 2003

LEGALIPATENTS

AUGUST 26, 2003

PTAS

Deputy Under Secretary of Commerce For Intellectual Property and Deputy Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



102416576A

ALLERGAN, INC. MARTIN A. VOET 2525 DUPONT DRIVE IRVINE, CALIFORNIA 92612

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/07/2003

REEL/FRAME: 013898/0170

NUMBER OF PAGES: 17

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ALLERGAN SALES, INC. (MERGED INTO

DOC DATE: 03/31/2003

ALLERGAN SALES, LLC 6/3/2002)

ASSIGNEE:

ALLERGAN, INC. 2525 DUPONT DRIVE IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 10104899

FILING DATE: 03/22/2002

ISSUE DATE:

FILING DATE: 12/06/2001

SERIAL NUMBER: 10008722 PATENT NUMBER:

ISSUE DATE:

SERIAL NUMBER: 10365082

FILING DATE: 02/11/2003

PATENT NUMBER:

ISSUE DATE:

SERIAL NUMBER: 10108714

FILING DATE: 03/28/2002

PATENT NUMBER:

PATENT NUMBER:

ISSUE DATE:



SERIAL NUMBER: 09903954 FILING DATE: 07/12/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09998358 FILING DATE: 11/29/2001 PATENT NUMBER: 6610744 ISSUE DATE: 08/26/2003

SERIAL NUMBER: 10017660 FILING DATE: 12/12/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10116492 FILING DATE: 04/03/2002

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09367712 FILING DATE: 08/18/1999

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09264531 FILING DATE: 03/08/1999

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09329752 FILING DATE: 06/10/1999

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09815362 FILING DATE: 03/21/2001

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SERIAL NUMBER: 09108298 FILING DATE: 07/01/1998

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09294980 FILING DATE: 04/19/1999

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SERIAL NUMBER: 09989295 FILING DATE: 11/20/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09760133 FILING DATE: 01/12/2001

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SERIAL NUMBER: 09288326 FILING DATE: 04/08/1999

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09548409 FILING DATE: 04/13/2000

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SERIAL NUMBER: 10304665 FILING DATE: 11/25/2002

PATENT NUMBER: ISSUE DATE:

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PATENT NUMBER: ISSUE DATE:

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SERIAL NUMBER: 09548896 FILING DATE: 04/13/2000

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SERIAL PATENT	NUMBER:	09838772 6548497	FILING DATE: 04/19/2001 ISSUE DATE: 04/15/2003
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	NUMBER:	09692811	FILING DATE: 10/20/2000 ISSUE DATE:
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FILING DATE: 04/16/2001 SERIAL NUMBER: 09835949 ISSUE DATE: PATENT NUMBER:

FILING DATE: 10/04/2001 SERIAL NUMBER: 09971869 PATENT NUMBER: ISSUE DATE:

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FILING DATE: 02/05/2001 SERIAL NUMBER: 09778975 ISSUE DATE: 04/08/2003 PATENT NUMBER: 6545182 SERIAL NUMBER: 09561106 FILING DATE: 04/28/2000 PATENT NUMBER: 6565870 ISSUE DATE: 05/20/2003 FILING DATE: 07/10/2001 SERIAL NUMBER: 09904018 ISSUE DATE: PATENT NUMBER: FILING DATE: 09/06/2002 SERIAL NUMBER: 10236566 ISSUE DATE: PATENT NUMBER: FILING DATE: 11/19/2002 SERIAL NUMBER: 10299386 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 10146224 FILING DATE: 05/14/2002 ISSUE DATE: 06/03/2003 PATENT NUMBER: 6573294 SERIAL NUMBER: 10300492 FILING DATE: 11/19/2002 ISSUE DATE: PATENT NUMBER: FILING DATE: 10/31/2001 SERIAL NUMBER: 10004230 ISSUE DATE: PATENT NUMBER: FILING DATE: 08/17/2000 SERIAL NUMBER: 09640852 ISSUE DATE: PATENT NUMBER: FILING DATE: 08/29/2000 SERIAL NUMBER: 09651235 PATENT NUMBER: 6252090 ISSUE DATE: 06/26/2001 SERIAL NUMBER: 10079993 FILING DATE: 02/21/2002 ISSUE DATE: 08/05/2003 PATENT NUMBER: 6603019 SERIAL NUMBER: 10364225 FILING DATE: 02/11/2003 ISSUE DATE: PATENT NUMBER: FILING DATE: 03/14/2002 SERIAL NUMBER: 10097368 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 10097315 FILING DATE: 03/14/2002 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 10212533 FILING DATE: 08/05/2002 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 10104433 FILING DATE: 05/24/2002 ISSUE DATE: 07/01/2003 PATENT NUMBER: 6586462 SERIAL NUMBER: 09847935 FILING DATE: 05/03/2001 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 10155925 FILING DATE: 05/23/2002 ISSUE DATE:

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FILING DATE: 12/29/2000 SERIAL NUMBER: 09751053 ISSUE DATE: PATENT NUMBER: FILING DATE: 04/26/2002 SERIAL NUMBER: 10020541 ISSUE DATE: PATENT NUMBER: FILING DATE: 11/01/2001 SERIAL NUMBER: 09998718 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 09726949 FILING DATE: 11/29/2000 ISSUE DATE: PATENT NUMBER: FILING DATE: 01/17/2002 SERIAL NUMBER: 10051952 ISSUE DATE: PATENT NUMBER: FILING DATE: 02/22/2002 SERIAL NUMBER: 10081126 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 09848249 FILING DATE: 05/03/2001 ISSUE DATE: PATENT NUMBER: FILING DATE: 05/03/2001 SERIAL NUMBER: 09848159 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 10131848 FILING DATE: 04/24/2002 ISSUE DATE: PATENT NUMBER: FILING DATE: 03/22/2001 SERIAL NUMBER: 09814604 ISSUE DATE: PATENT NUMBER: FILING DATE: 08/02/2001 SERIAL NUMBER: 09922226 ISSUE DATE: . PATENT NUMBER: FILING DATE: 04/12/2002 SERIAL NUMBER: 10121076 ISSUE DATE: PATENT NUMBER: FILING DATE: 06/14/2001 SERIAL NUMBER: 09882720 ISSUE DATE: PATENT NUMBER: FILING DATE: 03/20/2002 SERIAL NUMBER: 10103301 PATENT NUMBER: 6538018 ISSUE DATE: 03/25/2003 FILING DATE: 01/16/2003 SERIAL NUMBER: 10346828 ISSUE DATE: PATENT NUMBER: SERIAL NUMBER: 10294521 FILING DATE: 11/13/2002 ISSUE DATE: PATENT NUMBER: FILING DATE: 09/18/2001 SERIAL NUMBER: 09956470 ISSUE DATE: PATENT NUMBER: FILING DATE: 07/31/2001 SERIAL NUMBER: 09918847

ISSUE DATE:

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PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09893159 FILING DATE: 06/26/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09942098 FILING DATE: 08/28/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09942024 FILING DATE: 08/28/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10104385 FILING DATE: 03/22/2002

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09954610 FILING DATE: 09/17/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10143076 FILING DATE: 05/07/2002

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10017817 FILING DATE: 12/14/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10016850 FILING DATE: 12/14/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10016036 FILING DATE: 12/13/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10100638 FILING DATE: 03/19/2002

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10082691 FILING DATE: 02/25/2002

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10133094 FILING DATE: 04/26/2002

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10099239 FILING DATE: 03/15/2002

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10099602 FILING DATE: 03/14/2002

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 10143078 FILING DATE: 05/10/2002

PATENT NUMBER: ISSUE DATE:

ALLYSON PURNELL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

102416576 To: The Commissioner of Patents and Trademarks, Please record the attached original document(s) or copy(ies): RECEIVED

ι.	Submis	ssion Type:			
	X nev	v			
	☐ Cor	rection of PTO error	(Reel	/frame).
	☐ Cor	rective Document	(Reel	/frame)
2.	Convey	vance Type:			
	X	Assignment			
		License			
		Merger			
		Security Agreement			

Other: _____

SEP 02 2893

LEGALIPATENTS

3. **CONVEYING PARTIES Names of Conveying Parties Date of Conveyance** 1. Allergan Sales, Inc. (merged into Allergan Sales, LLC 6/3/2002) March 31, 2003

Additional Conveying Parties Attached

Change of Name

П

RECEIVING PARTIES	
Names of Receiving Parti	ies
Name Allergan, Inc.	
Address 1 2525 Dupont Drive	
Address 2 Irvine, CA 92612	· · · · · · · · · · · · · · · · · · ·

	Additional Receiving	Parties	Attached
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If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached. 04/11/2003 ECOOPER 00000008 010885 10104899

01 FC:8021

4440.00 CH

OFFICE OF PUBLIC RECORDS

5	
DOMESTIC REPRESENTATIVE NAME AND ADDRESS	
Name	
Address 1	
Address 2	
6.	
CORRESPONDENCE NAME AND ADDRESS	
Name Martin A. Voet (T2-7H)	
Address 1 Allergan, Inc.	
Address 2 2525 Dupont Drive, Irvine, CA 92612	
Telephone 714-246-5894 and Fax 714-246-4249	
7. Total Number of pages of the conveying document, including attachments: <u>17 pages</u>	
8	
APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number see attached Appendix A (3 pages) Patent Number 1010 4899	
Application Number Patent Number	
9. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, date of execution of the Assignment by the first inventor:	and
Title of Patent Application: Docket No.: Date of Execution by First Inventor:	
10. Total Number of Properties Involved: 111	
11. The fee amount (37 CFR §3.41) of $\frac{4.440}{}$	
may be debited from our Deposit Account No. 01-0885. is enclosed as check no	
12. X The Commissioner is authorized to deduct any additional fee amounts due in connection with the this document from Deposit Account No. 01-0885.	filing of
To the best of my information and belief, all statements made herein are true, and any attached copy is a true coporiginal document.	y of the
Respectfully submitted,	
SIGNATURE	
TYPED or PRINTED NAME: Martin A. Voet. REGISTRATION NO. 25,208	
CERTIFICATE OF MAILING I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERV WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON (Lynd 2 20) (Date)	/ICE
Name of person making deposit: Mary Lou McNown Signature:Date	

APPENDIX "A" (Page 1)

SERIAL NUMBER	INVENTORS	ALLERGAN NO.
10/104,899	Herbert K. Graham	16897-CIP
10/008,722	Aoki; et al.	16952-CON-DIV5-CIP
10/365,082	Aoki; et al.	16952-CON-DIV5-CIP-
10/303/002	1.0.1.27 00 02.	CON (BOT)
10/108,714	Regan; et al.	17023-DIV-CIP-CON
09/903,954	Michael E. Garst	17095-FWC-CIP-CON
09/998,358	Teng; et al.	17170-DIV2
10/017,660	Joseph S. Adorante	17219-CIP-CON3
10/116,492	Joseph S. Adorante	17219-CIP-CON4
09/367,712	John Sefton	17224
09/264,531	John Sefton	17235
not assigned	Olejnik; et al	17237-CON2-CIP-CON3
09/329,752	Chow; et al.	17243-CIP2
09/815,362	Chow; et al.	17243-CIP3
09/108,298	Nagpal; et al.	17253
09/294,980	Dolly; et al.	17259
•	(only the portion assign	ed by Roger Aoki)
09/989,295	Beck; et al.	17273-CON
09/760,133	Firestone; et al.	17278-CON
09/288,326	Sachs; et al.	17282
09/548,409	Sachs; et al.	17282-CIP
10/304,665	Klein; et al.	17276-CIP-CON
09/919,195	Massaro; et al.	17293-DIV
	(only the portion assign	
10/305,049	Massaro; et al.	17294-CON
	(only the portion assign	
09/548,896	Chandraratna; et al.	17295
	(only the portion assign	
09/624,129	Muller; et al.	17300-CIP
09/838,772	Cheetham; et al.	17300-CIP2
10/236,712	Muller; et al.	17300-CIP-CON
10/194,834	Muller; et al.	17301-DIV2
09/590,447	Forman; et al.	17302
	(only that portion assig	ned by
	Beard and Chandraratna)	45004
09/621,179	Chandraratna; et al.	17304
09/371,354	Stephen Donovan	17310
10/114,740	Gregory F. Brooks	17310-CIP
09/648,692	Dolly; et al.	17311
09/500,147	Terrence J. Hunt	17319
10/047,058	Terrence J. Hunt	17319-CIP
10/360,098	Terrence J. Hunt	17319-CIP-CIP

APPENDIX "A" (Page 2)

SERIAL NUMBER	INVENTORS	ALLERGAN NO.
10/135,595	Vasudevan; et al.	17321
10/038,215	Evan B. Dreyer	17322-CON
09/692,811	Stephen Donovan	17324
09/810,601	Stephen Donovan	17324-CIP
10/071,826	Donovan; et al.	17326-CIP2
09/552,823	Pacifici; et al.	17327-CIP
10/199,222	Aoki; et al.	17328-CON
09/489,667	Stephen Donovan	17329
09/938,112	Stephen Donovan	17329-DIV
09/625,098	Stephen Donovan	17329-CIP
10/039,520	Beard; et al.	17331-REF
09/533,680	Beard; et al.	17331
09/706,211	Stephen Donovan	17341-DIV
09/706,173	Stephen Donovan	17341-DIV2
09/706,172	Stephen Donovan	. 17341-DIV3
09/706,215	Stephen Donovan	17341-DIV5
10/017,834	Voet; et al.	17341-CIP2
10/099,238	Voet; et al.	17341-CIP3
09/704,464	Stephen Donovan	17342-DIV2
09/835,949	Stephen Donovan	17342-CON
09/971,869	Stephen Donovan	17342-DIV-CON
09/815,156	Klein; et al.	17346
09/850,835	Kusari; et al.	17347
09/548,315	Chow; et al.	17351
09/778,975	Chow; et al.	17351-CIP
09/561,106	Stephen Donovan	17354
09/904,018	Olejnik; et al.	17361
10/236,566	Olejnik; et al.	17361-CON
10/299,386	Olejnik; et al.	17361-DIV
10/146,224	Old; et al.	17366
10/300,492	Burk; et al.	17373-CON-CIP-CON
10/004,230	Steward; et al.	17376
09/640,852	Nehme; et al.	17377
09/651,235	Vasudevan; et al.	17379
10/079,993	Vasudevan; et al.	17382-DIV
10/364,225	Vasudevan; et al.	17382-DIV2
10/097,368	Vasudevan; et al.	17383-DIV
10/097,315	Vasudevan; et al.	17383-DIV2
10/212,533	Vasudevan; et al.	17386-DIV3
10/104,433	Burk; et al.	17390-CIP
09/847,935	Woodward; et al.	17392
10/155,925	Brooks; et al.	17396-CON
09/751,053	Gil; et al.	17399

APPENDIX "A' (Page 3)

SERIAL NUMBER INVENTORS		ALLERGAN NO
10/020,541	Wheeler; et al.	17400
09/998,718	Burke; et al.	17400-CIP
09/726,949	Lin; et al.	17408
10/051,952	Patricia S. Walker	17409-CIP
10/081,126	Gerald W. DeVries	17413
09/848,249	Woodward; et al.	17415
09/848,159	Yuan; et al.	17416
10/131,848	Huth; et al.	17421
09/814,604	Klein; et al.	17425
09/922,226	Zhao; et al.	17432
10/121,076	Robert T. Lyons	17433
09/882,720	Burk; et al.	17437
10/103,301	Burk; et al.	17437-CIP
10/346,828	_ · · · ·	17437-CON
10/294,521	,	17438-DIV
09/956,470	Liang; et al.	17440-CIP
09/918,847	Joshi; et al.	17442
09/904,753		17445
09/893,159		17446
09/942,098	Steward; et al.	17451
09/942,024	Steward; et al.	17452
10/104,385	· · · · · ·	17453-CIP
09/954,610	Martin A. Voet	17455
10/143,076	Lam; et al.	17456
10/017,817	Chang; et al.	17462
10/016,850	Hughes; et al.	17468
10/016,036	David; et al.	17476
	(only that portion assign	med
	by Robert David)	4.5.4.6.5
10/100,638	Vasudevan; et al.	17485
10/082,691	Stephen Donovan	17486
10/133,094	Stanley W. Huth	17487
10/099,239	Martin A. Voet	17489
10/099,602	Lisa D. Hanin	17493
10/143,078	Stephen Donovan	17500

ASSIGNMENT

WHEREAS: ALLERGAN, INC., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in, to and under certain inventions and in, to and under corresponding Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

WHEREAS: On June 3, 2002, ALLERGAN SALES, INC., a California corporation, was merged into ALLERGAN SALES, LLC, a Delaware limited liability company pursuant to the "Agreement and Plan of Merger" filed with the Secretary of State of the State of California and with the Secretary of State of the State of Delaware (copy attached).

WHEREAS: ALLERGAN SALES, LLC, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter ASSIGNOR) by virtue of the abovementioned merger owns the entire right, title and interest in, to and under certain inventions, corresponding U.S. patent applications and foreign rights directed thereto.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire right, title and interest in, to and under certain inventions in the Untied States and its territorial possessions and in all foreign countries to all Letters Patents or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for certain inventions by certain applications set forth in Appendix "A" and any continuation, divisional, renewal, substitute or reissue thereof for the full term or

terms for which the same may be granted; said sale, transfer and assignment effective June 3, 2002.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this __31 __ day of March 2003.

ALLERGAN SALES, LLC

Bv:

Martin A. Voet

Assistant Secretary

State of <u>CALIFORNIA</u>) (ss County of <u>ORANGE</u>)

On March _____31__, 2003, before me, Mary Lou McNown, notary public, personally appeared MARTIN A. VOET personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

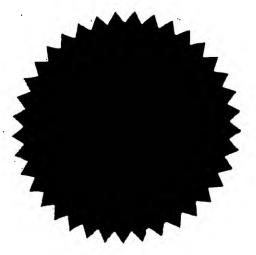
Signature of Notary Public

Morgan



I, BILL JONES, Secretary of State of the State of California, hereby certify:

That the attached transcript of ______ page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

JUN 18 2002

Billyons

Secretary of State

AGREEMENT AND PLAN OF MERGER

ENDORSED - FILED in the office of the Secretary of State of the State of California.

BETWEEN

JUN - 3 2002

ALLERGAN SALES, INC. (a California corporation)

BELL JONES, Secretary of State

AND .

ALLERGAN SALES, LLC (a Delaware limited liability company)

THIS AGREEMENT AND PLAN OF MERGER is made as of June 3, 2002 (this "Agreement of Merger"), by and between Allergan Sales, Inc., a California corporation (the "Corporation"), and Allergan Sales, LLC, a Delaware limited liability company (the "LLC", and collectively with the Corporation the "Constituent Companies").

WHEREAS, the Corporation was incorporated by the filing of Articles of Incorporation with the Secretary of State of the State of California on March 20, 1980; and

WHEREAS, the LLC was formed by the filing of a Certificate of Formation with the Secretary of State of the State of Delaware on February 25, 2002, and Allergan, Inc., a Delaware corporation and the sole member of the LLC (the "Member"), has entered into a Limited Liability Company Agreement dated as of February 25, 2002 (the "Operating Agreement");

NOW, THEREFORE, the parties hereby agree as follows:

- 1. Upon the terms and subject to the conditions hereof and in accordance with the California General Corporation Law (the "CGCL") and the Delaware Limited Liability Company Act (the "DLLCA"), the Corporation shall be merged with and into the LLC (the "Merger") at the Effective Time (as hereinafter defined). Following the Merger, the separate existence of the Corporation shall cease, and the LLC shall continue as the surviving entity (the "Surviving Entity") and shall succeed to and assume all of the rights and obligations of the Corporation in accordance with the CGCL and the DLLCA.
- 2. The parties hereto shall cause the Merger to be consummated by filing this Agreement of Merger, along with a Certificate of Merger, with the Secretary of State of the State of California pursuant to Section 1113 of the CGCL, and by filing a Certificate of Merger (the "Certificate of Merger") with respect thereto with the Secretary of State of the State of Delaware pursuant to Section 18-209 of the DLLCA. When used in this Agreement of Merger, the term "Effective Date" shall mean the date of filing of the Certificate of Merger with the Secretary of State of the State of Delaware.
- 3. The Merger shall have the effects set forth in Section 1113(i) of the CGCL and Section 18-209(g) of the DLLCA. Without limiting the generality of the foregoing, and subject thereto, at the Effective Time, except as otherwise provided herein, all of the property,

rights, privileges, powers and franchises of the Corporation and the LLC shall rest in the Surviving Entity, and all debts, liabilities and duties of the Corporation and the LLC shall become the debts, liabilities and duties of the Surviving Entity.

- 4. As of the Effective Time, by virtue of the Merger and without any action on the part of the Member of the LLC, or the shareholders or the Board of Directors of the Corporation, each share of capital stock in the Corporation issued and outstanding immediately prior to the Effective Time shall be canceled and extinguished without consideration. The membership interests of the LLC outstanding immediately prior to the Effective Time shall continue to be outstanding and shall not be affected by the Merger.
- or be advised that any deeds, bills of sale, assignments or assurances or any other acts or things are necessary, desirable or proper (a) to vest, perfect or confirm, of record or otherwise, in the Surviving Entity, its right, title or interest in, to or under any of the rights, privileges, powers, franchises, properties or assets of either of the Constituent Companies, or (b) otherwise to carry out the purposes of this Agreement of Merger, the Surviving Entity and its proper authorized representatives shall be authorized to execute and deliver, in the name and on behalf of either of the Constituent Companies, all such deeds, bills of sale, assignments and assurances and do, in the name and on behalf of each of the Constituent Companies, all such other acts and things necessary, desirable or proper to vest, perfect or confirm its right, title or interest in, to or under any of the rights, privileges, powers, franchises, properties or assets of such constituent Company and otherwise to carry out the purposes of this Agreement of Merger.
- 6. As required by the CGCL, the Surviving Entity hereby agrees to (i) be served in the State of California in any proceeding for the enforcement of an obligation of any Constituent Company and in any proceeding to enforce the rights of any holder of a dissenting interest or dissenting shares in a constituent domestic limited liability company or domestic other business entity; (ii) irrevocably appoint the Secretary of State of the State of California as its agent for service of process, which process may be forwarded to 2525 Dupont Drive, Irvine, California 92612; and (iii) promptly pay the holder of any dissenting interest or dissenting share in a constituent domestic limited liability company or domestic other business entity the amount to which that person is entitled under California law.

IN WITNESS WHEREOF, the undersigned have caused this Agreement of Merger to be executed by their respective officers or representatives thereunto duly authorized as of the date first above written.

ALLERGAN SALES, INC., a California corporation

Jeffrey L. Edwards

Vice President

By:

Matthew J. Maleum Assistant Secretary

ALLERGAN SALES, LLC, a Delaware limited liability company

By: ALLERGAN, INC., its Sole Member

By:

Name: Matthew J. Maletta

Title: Assistant Secretary

CERTIFICATE OF APPROVAL OF AGREEMENT AND PLAN OF MERGER

Jeffrey L. Edwards and Matthew J. Maletta state and certify that:

- 1. They are the Vice President and Assistant Secretary, respectively, of Allergan Sales, Inc., a California corporation.
- 2. The Agreement and Plan of Merger in the form attached was duly approved by the Board of Directors and the sole stockholder of the corporation.
- 3. There is only one class of shares and the total number of outstanding shares is 1,000 shares of Common Stock.
- 4. Approval of the Agreement and Plan of Merger by the holder of 100% of the outstanding shares of Common Stock was the vote required to approve the Agreement and Plan of Merger. The percentage of the outstanding shares of the corporation's shares entitled to vote on the Agreement of Merger which voted to approve the Agreement of Merger equaled the vote required.
- 5. No vote of the stockholders of the corporation's parent, Allergan, Inc., was required to approve the Agreement and Plan of Merger.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Date: June 3, 2002

Jeffrey L. Edwards

Vice President

Matthew J. Maletta

Assistant Secretary



State of California **Bill Jones Secretary of State**

OTHER BUSINESS ENTITY CERTIFICATE OF MERGER Stone Code Sections 1113(g)(1) and (2), 6019.1, 8019.1 and 12540.1)

	(Corpo	rations Code Sections	1113(g)(1) and (2), 6019.1, 80)19.1 and 12540.1)		•	
			Diseas see instructio	ns.			
Filing Fee - Please see instructions. IMPORTANT - Read instructions before completing this form.			ieting-this-form.	This Spec	ce For Filling Use Only		
			·	3. Secretary of State File		Jurisdiction:	
. 1	isme of sur	viving entity.	2. Type of entity:	200216110097		Delavare	
	Jame of dis	Sales, LLC appearing entity:	6. Type of entity:	7. Secretary of State File C0978306	Number: 8	. Jurisdiction: California	
Al	lergan	Sales, Inc.	Corporation		Day	Year	
-	uture effec	tive date, if any:	***************************************	- ·			
		ind enter the D	utstanding interests of ea	ch class entitled to vote on the	ne merger and th	e percentage of vote	IBQUIRGE.
). I	f a vote was	Surviving E	ntity			RY ercentage of vote requir	nd
	ch class entil	-	Percentage of yota regular	Each class entitled to		STORTING OF YORK TANKED	
	5070		1002	Sole Sharehold	er obstes iss	red . 1007	
	Member		1002	and by a vote of the num	ber of Interests	or shares of each cli	ess that
1.	The principa	al terms of the agree	ment of merger were shi-	roved by a vote of the num			
		TALESCOOL DISTANCE LA	den an				
2.	If equity sec	curities of a parent pa	rty are to be issued in the	merger: .[] The required vote of th	e shareholders of	the parent party was ob	teined.
l] No vote o	the shareholders of the	parent party was required.	1 110 104010	=D 114 D7 ITY C	OMPANY DOMEST	IC LIMITED
-	BY IFFICUI	D MO VAN INERWRIE	•	TITY IS A DOMESTIC LIMIT	11 11 11 11 11 11 11	A COMPANY COMPANY	
PA	POSTAR S	bances to the informa	ation set forth in the Article	es of Organization, Certificate any, limited partnership or pr	e of Umited Pan octoochin medit	ing from the merger.	Attach
3.	Dertnershir	WILLIAM OF THE SALLA	iving limited liability comp	Bud's simited batmersuch or be	Elutorateb tossic		
	additional p	pages, if necessary.			CD DI ICINESS	ENTITY	
_		SECTION 14 IS A	PPLICABLE IF THE SUR	VIVING ENTITY IS AN OTH	EK BOSINEOU		
14	Principal b	miness address of the	surviving other business	entity:			
→.	Address	2525 Dupont D	rive	•		Zio: 92612	
	Address:	2323 Dupone -		State: California		Zip: 92612	e estitu
	City:	Trying	stated in the Certificate o	State: California f Merger by the laws under	which each cons	KITHOUT CENTER DESIRES	s wildy
15.	Other Infor	metion required to be id. Attach additional p	pages if necessary.	•			
•	15 Organiza			to a standard to	o effect the mer	CST:	
16.	Statutory 0	or other basis under w	hich each foreign other b	usiness entity is authorized to	ones ere man		
	Delava	re Limited Lie	Billy Company 2	<u></u>			
17.	Number of	pages attached, if an	y: 1		- Immedados II	declare that I am the	person who
18.			ained in this document ar ch execution is my act an	e true and correct of my ow d deed.	II KI KWI BOYO.		• • •
		- 4			Name and Title o	f Person Signing	Date
	See 4	Authorized Person for the	ne Surviving Entity	Date Type or PTITE	Leaune and 1990		
							Date
	See A	ttached Authorized Person for t	a Surviving Entity	Type or Print	Name and Title o	Person Signing .	
	See A	ttached	At a series Earthy	Date Type or Prin	t Name and Title	of Penson Signing	Deb
	Signature of	Authorized Person for 8	ne Ustappearing Emity	- 11kg 21 1 m.			
	Caa A	ttached			A bloom and The	of Person Signing	Det
		tached Authorized Person for ti	he Disappearing Entity	Date Type or Prin	Name and Tibe	of Person Signing	
	Signature of		uni mai estate investmer	Type or Print trust or an unincorporated	n Name and Title d essociation, se	of Person Signing of forth the provision (Dete of law or

ATTACHMENT PAGE OTHER BUSINESS ENTITY CERTIFICATE OF MERGER

18. Signature of Authorized person for the Surviving Entity

Dated: June 3, 2002	ALLERGAN SALES, LLC,
	a Delaware limited liability company

ALLERGAN, INC., a Delaware corporation, its sole member Name: Matthew J. Maletta

Title: Assistant Secretary

Signature of Authorized person for the Disappearing Entity

Dated: June 3, 2002

ALLERGAN SALES, INC.,

a California corporation

Name: Jeffrey L. Edwards

Title: Vice President

Name: Matthew J. Maletta

Title: Assistant Secretary



PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ALLERGAN SALES, INC.", A CALIFORNIA CORPORATION,

WITH AND INTO "ALLERGAN SALES, LLC" UNDER THE NAME OF

"ALLERGAN SALES, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND

EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED

AND FILED IN THIS OFFICE THE THIRD DAY OF JUNE, A.D. 2002, AT 9

O'CLOCK A.M.



Warriet Smith Windson Secretary of State

AUTHENTICATION: 1809761

DATE: 06-03-02

3496059 8100M

020354968

CERTIFICATE OF MERGER
OF
ALLERGAN SALES, INC.
(a California corporation)
WITH AND INTO
ALLERGAN SALES, LLC
(a Delaware limited liability company)

(Pursuant to Section 18-209 of the Delaware Limited Liability Company Act)

Pursuant to the provisions of Section 18-209 of the Delaware Limited Liability Company Act ("DLLCA"), the undersigned surviving limited liability company submits the following Certificate of Merger for filing and certifies that:

FIRST: The name and jurisdiction of formation or incorporation of the limited liability company and corporation which are parties to the merger (the "constituent entities") are as follows:

Name of Entity

State of Formation or Incorporation

Allergan Sales, Inc.

California

Allergan Sales, LLC

Delaware

SECOND: An Agreement and Plan of Merger (the "Merger Agreement") between the constituent entities has been approved and executed by each of the constituent entities which are to merge in accordance with the requirements of Section 18-209 of the DLLCA.

THIRD: The name of the surviving limited liability company is: Allergan Sales, LLC (the "Surviving Entity").

FOURTH: The merger shall become effective upon filing of this Certificate of Merger.

FIFTH: The executed Merger Agreement is on file at the office of the Surviving Entity, the address of which is 2525 Dupont Drive. Irvine, California 92612.

SIXTH: A copy of the Merger Agreement will be furnished by the Surviving Entity, on request and without cost, to any member of the Surviving Entity or to any person holding an interest in the entity which is to merge with and into the Surviving Entity.

STATE OF DELAWARE SECRETARY OF STATE DIVISION OF CORPORATIONS FILED 09:00 AN 06/03/2002 020354968 - 3496059 IN WITNESS WHEREOF, this Certificate of Merger has been duly executed as of the 3rd day of June, 2002, and is being filed in accordance with Section 18-209 of the DLLCA by a duly authorized person on behalf of Allergan Sales, LLC.

ALLERGAN SALES, LLC, a Delaware limited liability company

ALLERGAN, INC.,

a Delaware corporation,

its sole member

Name: Matthew L. Maletta

Title: Assistant Secretary